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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Ernie W.*  
*#3/A*  
*6.11.02*

**Applicant:** Murphy et al.

**Art Unit:** 2164

**Serial No.:** 10/047,307

**Docket No.:** END920020094US1

**Filing Date:** January 15, 2002

**Examiner:** Unknown

**Title:** METHOD AND SYSTEM FOR  
RECEIVING AN ALERT CODE IN  
A COMMERCIAL TRANSACTION

Box Non-Fee Amendment  
Commissioner For Patents  
Washington, D.C. 20231

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Sir:

**PRELIMINARY AMENDMENT**

Prior to initial examination on the merits, please amend the above-identified application  
as follows:

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GROUP 3600

In the Specification

Please amend the Specification to appear as set forth below. A separate markup sheet showing  
the revisions accompanies this Preliminary Amendment.

**The paragraph spanning page 11, lines 10-16:**

*A1* In an alternative embodiment, user 38 could designate that he/she will communicate with  
message center 44 to retrieve messages from certain contacts on the list, and directly

2162

2164

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
END920010094US1

In Re Application Of: Murphy, Jr. et al.

Serial No.  
10/047,307

Filing Date  
1/15/2002

Examiner  
Unknown

Group Art Unit  
2164

Title: **METHOD AND SYSTEM FOR RECEIVING AN ALERT CODE IN A COMMERCIAL TRANSACTION**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

Preliminary Amendment in 3 pgs.  
Separate Sheet in 2 pgs.  
Acknowledgment Postcard

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in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 500999 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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Dated: April 11, 2002

  
Signature

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I certify that this document and fee is being deposited on 4/11/2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

  
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